

DOCKET NO. *JFW*  
MVMDINC. 045A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : van der Burg, et al.  
Appl. No. : 10/642,384  
Filed : August 15, 2003  
For : SYSTEM AND METHOD  
FOR DELIVERING A LEFT  
ATRIAL APPENDAGE  
CONTAINMENT DEVICE  
Examiner : Unknown  
Group Art Unit: 3762

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 30, 2004

(Date)

Sabing H. Lee, Reg. No. 43,745


TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with one hundred forty-six (146) references, 16 are enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Sabing H. Lee  
Registration No. 43,745  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

**INFORMATION DISCLOSURE STATEMENT**

Applicant : van der Burg, et al.  
App. No. : 10/642,384  
Filed : August 15, 2003  
For : SYSTEM AND METHOD FOR  
DELIVERING A LEFT ATRIAL  
APPENDAGE CONTAINMENT DEVICE  
Examiner : Unknown  
Group Art Unit : 3762

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

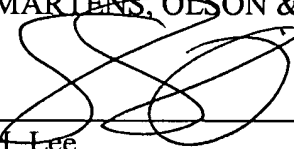
Enclosed is form PTO-1449 listing 146 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Supplemental Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 7-30-04

By:   
Sabing H. Lee  
Registration No. 43,745  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

AUG 02 2004

SHEET 1 OF 6

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MVMDINC.045AAPPLICATION NO.  
10/642,384INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
van der Burg, et al.FILING DATE  
15 August 2003GROUP  
3762

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,402,710	9/24/1968	Paleschuck			
	2	3,540,431	11/17/1970	Mobin-Uddin			
	3	3,638,652	2/1/1972	Kelley			
	4	3,844,302	10/29/1974	Klein			
	5	3,874,388	4/1/1975	King, et al.			
	6	4,007,743	2/15/1977	Blake			
	7	4,309,776	1/12/1982	Berguer			
	8	4,341,218	7/27/1982	U			
	9	4,603,693	8/5/1986	Conta, et al.			
	10	4,665,906	5/17/1987	Jervis			
	11	4,681,588	7/21/1987	Ketharanathan			
	12	4,710,192	12/1/1987	Liotta, et al.			
	13	4,917,089	4/17/1990	Sideris			
	14	4,921,484	5/1/1990	Hillstead			
	15	5,041,090	8/20/1991	Scheglov, et al.			
	16	5,042,707	8/27/1991	Taheri			
	17	5,064,435	11/12/1991	Porter			
	18	5,071,407	12/10/1991	Termin, et al.			
	19	5,078,736	1/7/1992	Behl			
	20	5,108,418	4/28/1992	Lefebvre			
	21	5,108,420	4/28/1992	Marks			
	22	5,122,136	6/16/1992	Guglielmi, et al.			
	23	5,171,259	12/15/1992	Inoue			
	24	5,176,692	1/5/1993	Wilk, et al.			
	25	5,192,301	3/9/1993	Kamiya, et al.			
	26	5,234,458	8/10/1993	Metais			
	27	5,258,000	11/2/1993	Gianturco			

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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		FILING DATE 15 August 2003	GROUP 3762

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	28	5,258,042	11/2/1993	Mehta			
	29	5,284,488	2/8/1994	Sideris			
	30	5,304,184	4/19/1994	Hathaway, et al.			
	31	5,306,234	4/26/1994	Johnson			
	32	5,334,217	8/2/1994	Das			
	33	5,350,398	9/27/1994	Pavcnik, et al.			
	34	5,350,399	9/27/1994	Erlebacher, et al.			
	35	5,375,612	12/27/1994	Cottenceau, et al.			
	36	5,417,699	5/23/1995	Klein, et al.			
	37	5,425,744	6/20/1995	Fagan, et al.			
	38	5,433,727	7/18/1995	Sideris			
	39	5,443,454	8/22/1995	Tanabe, et al.			
	40	5,443,478	8/22/1995	Purdy			
	41	5,451,235	9/19/1995	Lock, et al.			
	42	5,464,408	11/7/1995	Duc			
	43	5,490,856	2/13/1996	Person, et al.			
	44	5,522,790	6/4/1996	Moll, et al.			
	45	5,522,836	6/4/1996	Palermo			
	46	5,527,322	6/18/1996	Klein, et al.			
	47	5,527,338	6/18/1996	Purdy			
	48	5,614,204	3/25/1997	Cochrum			
	49	5,634,936	6/3/1997	Linden, et al.			
	50	5,643,292	7/1/1997	Hart			
	51	5,669,933	9/23/1997	Simon, et al.			
	52	5,693,067	12/2/1997	Purdy			
	53	5,702,421	12/30/1997	Schneidt			
	54	5,709,224	1/20/1998	Behl, et al.			

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	55	5,709,707	1/20/1998	Lock, et al.			
	56	5,725,568	3/10/1998	Hastings			
	57	5,733,294	3/31/1998	Forber, et al.			
	58	5,735,290	4/7/1998	Sterman, et al.			
	59	5,749,880	5/12/1998	Banas, et al.			
	60	5,749,894	5/12/1998	Engelson			
	61	5,766,219	6/16/1998	Horton			
	62	5,776,097	7/7/1998	Massoud			
	63	5,782,860	7/21/1998	Epstein, et al.			
	64	5,830,228	11/3/1998	Knapp, et al.			
	65	5,836,968	11/17/1998	Simon, et al.			
	66	5,843,118	12/1/1998	Sepetka, et al.			
	67	5,849,005	12/15/1998	Garrison, et al.			
	68	5,853,422	12/29/1998	Huebsch, et al.			
	69	5,865,791	2/2/1999	Whayne, et al.			
	70	5,879,366	3/9/1999	Shaw, et al.			
	71	5,891,558	4/6/1999	Bell, et al.			
	72	5,904,680	5/18/1999	Kordis, et al.			
	73	5,906,207	5/25/1999	Shen			
	74	5,925,074	7/20/1999	Gingras, et al.			
	75	5,925,075	7/20/1999	Myers, et al.			
	76	5,935,148	8/10/1999	Villar, et al.			
	77	6,004,348	12/21/1999	Banas, et al.			
	78	6,007,557	12/28/1999	Ambrisco, et al.			
	79	6,076,012	6/13/2000	Swanson, et al.			
	80	6,079,414	6/27/2000	Roth			
	81	6,096,053	8/1/2000	Bates			

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	82	6,124,523	9/26/2000	Banas, et al.			
	83	6,132,438	10/17/2000	Fleischman, et al.			
	84	6,139,573	10/31/2000	Sogard, et al.			
	85	6,231,561	5/15/2001	Frazier, et al.			
	86	6,231,589	5/15/2001	Wessman, et al.			
	87	6,245,012	6/12/2001	Kleshinski			
	88	6,270,530	8/7/2001	Eldridge, et al.			
	89	6,270,902	8/7/2001	Tedeschi, et al.			
	90	6,290,674	9/18/2001	Roue, et al.			
	91	6,290,708	9/18/2001	Kugel, et al.			
	92	6,328,727	12/11/2001	Frazier, et al.			
	93	6,368,338	4/9/2002	Konya, et al.			
	94	6,371,971	4/16/2002	Tsugita, et al.			
	95	6,391,044	5/21/2002	Yadav, et al.			
	96	6,398,803	6/4/2002	Layne, et al.			
	97	6,402,746	6/11/2002	Whayne, et al.			
	98	6,402,779	6/11/2002	Colone, et al.			
	99	6,419,669	7/16/2002	Frazier, et al.			
	100	6,443,972	9/3/2002	Bosma, et al.			
	101	6,468,291	10/22/2002	Bates, et al.			
	102	6,558,405	5/6/2003	McInnes			
	103	6,562,058	5/13/2003	Seguin, et al.			
	104	6,589,251	7/8/03	Yee, et al.			
	105	6,652,555	11/25/03	Van Tassel, et al.			
	106	6,689,150	2/10/04	Van Tassel, et al.			
	107	6,730,108	5/4/04	Van Tassel, et al.			

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	108	US 2001/0020181 A1	9/6/2001	Layne			
	109	US 2001/0034537 A1	10/25/2001	Shaw, et al.			
	110	US 2002/0022860 A1	2/21/2002	Borillo, et al.			
	111	US 2002/0035374 A1	3/21/2002	Borillo, et al.			
	112	US 2002/0045931 A1	4/18/2002	Sogard, et al.			
	113	US 2002/0062133 A1	5/23/2002	Gilson, et al.			
	114	US 2002/0082675 A1	6/27/2002	Myers			
	115	US 2002/0099439 A1	7/25/2002	Schwartz, et al.			
	116	US 2002/0138094 A1	9/26/2002	Borillo, et al.			
	117	US 2002/0138097 A1	9/26/2002	Ostrovsky, et al.			
	118	US 2002/0169457 A1	11/14/2002	Quinn			
	119	US 2002/0178570 A1	12/5/2002	Sogard, et al.			
	120	us 2002/0183787 A1	12/5/2002	Wahr, et al.			
	121	US 2003/0017775 A1	1/23/2003	Sowinski, et al.			
	122	US 2003/0023262 A1	1/30/2003	Welch			
	123	US 2003/0023266 A1	1/30/2003	Borillo, et al.			
	124	US 2003/0028213 A1	2/6/2003	Thill, et al.			
	125	US 2003/0057156 A1	3/27/2003	Peterson, et al.			
	126	US 2003/0060871 A1	3/27/2003	Hill, et al.			
	127	US 2003/0120337 A1	6/26/2003	Van Tassel, et al.			
	128	US 2003/0181942 A1	9/25/03	Sutton, et al.			
	129	US 2003/0191526 A1	10/9/2003	Van Tassel, et al.			
	130	US 2004/0049210 A1	3/11/04	Van Tassel, et al.			

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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	131	WO 95/04132	2/9/1995					
	132	WO 96/01591	1/25/1996					
	133	WO 96/40356	12/19/1996					
	134	WO 98/27868	7/2/1998					
	135	WO 99/23976	5/20/1999					
	136	WO 99/25252	5/27/1999					
	137	WO 00/16705	3/30/2000					
	138	WO 00/67669	11/16/2000					
	139	WO 01/08743 A1	2/8/2001					
	140	WO 01/30267 A1	5/3/2001					
	141	WO 01/30268 A1	5/3/2001					
	142	WO 02/071977 A2	9/19/2002					
	143	WO 02/15793 A2	2/28/2002					
	144	WO 02/24106 A2	3/28/2002					
	145	WO 03/007825 A1	1/30/2003					
	146	WO 03/008030 A2	1/30/2003					
	147							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	148	
	149	
	150	
	151	
	152	

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